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4000-01-U

DEPARTMENT OF EDUCATION

Applications for New Awards; Promoting Student Resilience

AGENCY: Office of Elementary and Secondary Education,

Department of Education.

ACTION: Notice.

Overview Information:

Promoting Student Resilience

Notice inviting applications for new awards for fiscal year (FY) 2016.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.184C.

Dates:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Full Text of Announcement

I. Funding Opportunity Description

Purpose of Program: The Promoting Student Resilience
program provides grants to local educational agencies
(LEAs) (or consortia of LEAs) to build and increase their
capacity to address the comprehensive behavioral and mental

health needs of students in communities that have experienced Significant civil unrest in the past 24 months. Background: Recent events have demonstrated that incidents involving civil unrest can disrupt schools and adversely impact the learning environment. These experiences can traumatize students, and this trauma can have lasting adverse effects on the mental, social, and emotional wellbeing of children and youth. The communities that are directly impacted by Significant civil unrest often have a long history of poverty, neglect, and inequality, and students in these communities often face barriers to accessing social and health services. It is widely recognized that there may also be a history of tension in the relationships between members of the community and agents of the public sector that deters efforts to seek such services.

According to the Substance Abuse and Mental Health
Services Administration (SAMHSA), trauma results from an
event or a series of events, or a set of circumstances that
is perceived by an individual as physically or emotionally
harmful or life threatening and that has lasting adverse

 $^{^{\}mbox{\scriptsize 1}}$ Defined terms are used throughout the notice and are indicated by capitalization.

effects on the individual's mental, social, or emotional well-being.²

<u>Priorities</u>: This notice contains one absolute priority and two competitive preference priorities. We are establishing these priorities for the FY 2016 grant competition and any subsequent year in which we make awards from the list of unfunded applications from this competition, in accordance with section 437(d)(1) of the General Education Provisions Act (GEPA), 20 U.S.C. 1232(d)(1).

Absolute Priority: This priority is an absolute priority.

Under 34 CFR 75.105(c)(3) we consider only applications
that meet this priority.

This priority is:

Grants to Local Educational Agencies (LEAs) to Provide

School-Based Supports to Address the Behavioral and Mental

Health Needs of Students in Communities That Have

Experienced Significant Civil Unrest.

Under this priority, we provide grants to LEAs (or consortia of LEAs) in communities that have experienced Significant civil unrest to expand the capacity of those LEAs to more effectively address the behavioral and mental

² Substance Abuse and Mental Health Services Administration. SAMHSA's Concept of Trauma and Guidance for a Trauma-Informed Approach. HHS Publication No. (SMA) 14-4884. Rockville, MD: Substance Abuse and Mental Health Services Administration, 2014.

health needs of affected students in those communities. An increased capacity of enhanced social and emotional supports, combined with other school-based strategies, will offer schools an opportunity to create, strengthen, and maintain safe and supportive learning environments. These projects must:

- (a) Expand the capacity of the LEA(s) to more effectively address the behavioral and mental health needs of students, and
- (b) Provide increased access for students to school-based counseling services, or referrals to community-based counseling services, for assistance in coping with trauma.

 Competitive Preference Priorities: These priorities are competitive preference priorities. Under 34 CFR

 75.105(c)(2)(1) we award up to an additional 10 points to an application that meets Competitive Preference Priority 1, depending on how well the application meets this priority. We also award 5 points on an all or nothing basis to an application that meets Competitive Preference Priority 2. Therefore, the maximum number of competitive preference priority points that an application can receive under this competition is 15 points.

These priorities are:

Competitive Preference Priority 1-Coordination with Community-Based Organizations.

Under this priority, we provide up to an additional 10 points to an applicant based on the application's description of a credible, high-quality plan to coordinate activities that would be funded under the proposed project with related activities that would be conducted under other programs for which the applicant currently has, or is seeking, funding, including, but not limited to, the Substance Abuse and Mental Health Service Administration's Resiliency in Communities After Stress and Trauma grant program (CFDA 93.243). The coordination plan must include: 1) a description of how the applicant will coordinate with Community-based organizations with experience carrying out similar or related activities to promote student resilience; and 2) evidence of collaboration and coordination through letters of support or a memorandum of understanding from the entities with which the collaboration and coordination will occur. Applicants that receive additional competitive preference points under this priority and who are ultimately awarded a Promoting Student Resilience grant must finalize and implement the highquality plan described in response to this priority within six months of the grant award.

Competitive Preference Priority 2-Emergency Declaration Status.

Under this priority, we provide an additional 5 points to an applicant from a community in which Significant civil unrest resulted in an emergency declaration from the governor. Applicants must provide a copy of the Governor's declaration in the application.

Application Requirements: We are establishing these requirements for the FY 2016 grant competition and any subsequent year in which we make awards from the list of unfunded applications from this competition, in accordance with section 437(d)(1) of the General Education Provisions Act (GEPA), 20 U.S.C. 1232(d)(1).

To be eligible for a grant under this competition, an application must include, in addition to the items in the plan listed under Program Requirements, the following:

- (a) A description of Significant civil unrest experienced by the LEA(s) and its impact on the learning environment in specific schools;
- (b) A Logic model for how the applicant will use grant funds effectively;
- (c) A needs assessment of students who, as a result of exposure to Significant civil unrest, would benefit from enhanced or increased behavioral and mental health

services. This needs assessment must include input from parents;

- (d) A capacity assessment of the LEA's, or LEAs', service delivery system's ability to provide mental and behavioral health services; and
- (e) A plan to successfully meet the program requirements for this competition, based on data from the needs assessment and the capacity assessment.

Program Requirements: We are establishing these requirements for the FY 2016 grant competition and any subsequent year in which we make awards from the list of unfunded applications from this competition, in accordance with section 437(d)(1) of the General Education Provisions Act (GEPA), 20 U.S.C. 1232(d)(1).

Each grantee must implement a plan described in its approved application to:

- (a) Develop, enhance and increase its capacity to provide school-based mental health and behavioral services including, but not limited to:
- (1) Providing professional development opportunities for LEA and school mental health staff on how to screen for and respond to civil unrest-related trauma and implement strategies appropriate for school-based mitigation of trauma;

- (2) Improving the range, availability, and quality of school-based supports by hiring qualified mental health professionals with experience or training in the behavioral and mental health needs of youth who have experienced trauma related to recent events in their communities; and
- (3) Providing training to select school staff, community partners, youth, and parents on the challenges due to exposure to the trauma related to recent events in their communities, and on the importance of screening students and providing interventions to help students cope with traumatic events; and
- (b) Providing enhanced or increased behavioral and mental health services and supports while also increasing the grantee's capacity to provide those services and supports.

<u>Definitions</u>: We are establishing the definition of "significant civil unrest" and "community-based organization" in this notice for the FY 2016 grant competition and any subsequent year in which we make awards from the list of unfunded applications from this competition, in accordance with section 437(d)(1) of GEPA, 20 U.S.C. 1232(d)(1). The definition of "local educational agency" is from section 9101(26) of the Elementary and Secondary Education Act of 1965 (ESEA), as amended by the

No Child Left Behind Act of 2001 (NCLB) (20 U.S.C. 7801). The definitions of "baseline," "evidence of promise," "logic model," "quasi-experimental design study," "randomized controlled trial," and "relevant outcome" are from 34 CFR 77.1.

Baseline means the starting point from which performance is measured and targets are set.

<u>Community-based organization</u> means a private or public nonprofit organization of demonstrated effectiveness that:

- (1) is representative of a community or significant segments of a community;
- (2) provides educational or related services to individuals in the community; and
- (3) has experience carrying out activities promoting student resilience.

Evidence of promise means there is empirical evidence to support the theoretical linkage(s) between at least one critical component and at least one Relevant outcome presented in the logic model for the proposed process, product, strategy, or practice. Specifically, evidence of promise means the conditions in both paragraphs (i) and (ii) of this definition are met:

(i) There is at least one study that is a-

- (A) Correlational study with statistical controls for selection bias;
- (B) Quasi-experimental design study that meets the What Works Clearinghouse Evidence Standards with reservations; or
- (C) Randomized controlled trial that meets the What Works Clearinghouse Evidence Standards with or without reservations.
- (ii) The study referenced in paragraph (i) of this definition found a statistically significant or substantively important (defined as a difference of 0.25 standard deviations or larger) favorable association between at least one critical component and one Relevant outcome presented in the logic model for the proposed process, product, strategy, or practice.

Local educational agency (LEA) means:

(1) A public board of education or other public authority legally constituted within a State for either administrative control or direction of, or to perform a service function for, public elementary schools or secondary schools in a city, county, township, school district, or other political subdivision of a State, or of or for a combination of school districts or counties that

is recognized in a State as an administrative agency for its public elementary schools or secondary schools.

- (2) The term includes any other public institution or agency having administrative control and direction of a public elementary school or secondary school.
- secondary school funded by the Bureau of Indian Affairs but only to the extent that including the school makes the school eligible for programs for which specific eligibility is not provided to the school in another provision of law and the school does not have a student population that is smaller than the student population of the local educational agency receiving assistance under the ESEA with the smallest student population, except that the school shall not be subject to the jurisdiction of any State educational agency other than the Bureau of Indian Affairs.
- (4) The term includes educational service agencies and consortia of those agencies.
- (5) The term includes the State educational agency in a State in which the State educational agency is the sole educational agency for all public schools.

Logic model (also referred to as theory of action)
means a well-specified conceptual framework that identifies
key components of the proposed process, product, strategy,

or practice (i.e., the active "ingredients" that are hypothesized to be critical to achieving the Relevant outcome(s)) and describes the relationships among the key components and outcomes, theoretically and operationally.

Quasi-experimental design study means a study using a design that attempts to approximate an experimental design by identifying a comparison group that is similar to the treatment group in important respects. These studies, depending on design and implementation, can meet What Works Clearinghouse Evidence Standards with reservations (but not What Works Clearinghouse Evidence Standards without reservations).

Randomized controlled trial means a study that employs random assignment of, for example, students, teachers, classrooms, schools, or districts to receive the intervention being evaluated (the treatment group) or not to receive the intervention (the control group). The estimated effectiveness of the intervention is the difference between the average outcomes for the treatment group and for the control group. These studies, depending on design and implementation, can meet What Works Clearinghouse Evidence Standards without reservations.

Relevant outcome means the student outcome(s) (or the ultimate outcome if not related to students) the proposed

process, product, strategy, or practice is designed to improve; consistent with the specific goals of a program.

Significant civil unrest means demonstrations of mass protest that included law enforcement involvement that occurred within 24 months immediately prior to [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Waiver of Proposed Rulemaking: Under the Administrative Procedure Act (5 U.S.C. 553) the Department generally offers interested parties the opportunity to comment on proposed priorities, definitions, and requirements. Section 437(d)(1) of GEPA, however, allows the Secretary to exempt from rulemaking requirements, regulations governing the first grant competition under a new or substantially revised program authority. This is the first grant competition for this program under section 4121 of the Elementary and Secondary Education Act as amended by the No Child Left Behind Act of 2001 and therefore qualifies for this exemption. In order to ensure timely grant awards, the Secretary has decided to forego public comment on the priorities, requirements, and definitions under section 437 (d) (1) of GEPA. These priorities, requirements, and definitions will apply to the FY 2016 grant competition and any subsequent year in which we make awards from the list of unfunded applications from this competition.

Program Authority: 20 U.S.C. 7131, and Title III of
Division H of the Consolidated Appropriations Act, 2016
(Pub. Law 114-113).

Applicable Regulations: (a) The Education Department
General Administrative Regulations (EDGAR) in 34 CFR parts
75, 77, 79, 81, 82, 84, 97, 98, and 99. (b) The Office of
Management and Budget (OMB) Guidelines to Agencies on
Governmentwide Debarment and Suspension (Nonprocurement) in
2 CFR part 180, as adopted and amended as regulations of
the Department in 2 CFR part 3485. (c) The Uniform
Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards in 2 CFR part 200, as
adopted and amended as regulations of the Department in 2
CFR part 3474. (d) The regulations in 34 CFR part 299.

Note: The regulations in 34 CFR part 79 apply to all
applicants except federally recognized Indian tribes.

II. Award Information

Type of Award: Discretionary grants.

Estimated Available Funds: \$4,750,000.

Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2017 from the list of unfunded applications from this competition.

Estimated Range of Awards: \$1,187,500-\$2,375,000

Estimated Average Size of Awards: \$1,500,000

Maximum Award: We will not fund any portion of a budget request exceeding \$2,375,000 for a budget period of 24 months. The Assistant Secretary for Elementary and Secondary Education may change the maximum amount through a notice published in the Federal Register.

Estimated Number of Awards: 2-4.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 24 months.

III. Eligibility Information

- 1. <u>Eligible Applicants</u>: LEAs, or consortia of LEAs, from a community that has experienced Significant civil unrest.
- 2. <u>Cost Sharing or Matching</u>: This program does not require cost sharing or matching.
- 3. Other: Participation by Private School Children and Teachers. Section 9501 of the ESEA requires that SEAs, LEAs, or other entities receiving funds under the Safe and Drug-Free Schools and Communities Act provide for the equitable participation of private school children, their teachers, and other educational personnel in private schools located in geographic areas served by the grant recipient.

In order to ensure that grant program activities address the needs of private school children, the applicant must engage in timely and meaningful consultation with appropriate private school officials during the design and development of the proposed program. This consultation must take place before the applicant makes any decision that affects the opportunities of eligible private school children, teachers, and other educational personnel to participate in grant program activities. The eligible entity should engage in a process of timely and meaningful consultation with private school officials and provide them with information related to the projected and final funding amounts for programs and services, including on the process the entity will use in preparing its competitive grant application. Administrative direction and control over grant funds must remain with the grantee.

IV. Application and Submission Information

1. Address to Request Application Package:

You can obtain an application package via the Internet or from the Education Publications Center (ED Pubs). To obtain a copy via the Internet, use the following address: www.ed.gov/fund/grant/apply/grantapps/index.html. To obtain a copy from ED Pubs, write, fax, or call: ED Pubs, U.S. Department of Education, P.O. Box 22207, Alexandria,

VA 22304. Telephone, toll free: 1-877-433-7827. FAX: (703) 605-6794. If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call, toll free: 1-877-576-7734.

You can contact ED Pubs at its Web site, also: www.EDPubs.gov or at its email address: edpubs@inet.ed.gov.

If you request an application package from ED Pubs, be sure to identify this program or competition as follows:

CFDA number 84.184C.

Individuals with disabilities can obtain a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) by contacting the person or team listed under <u>Accessible Format</u> in section VIII of this notice.

2. Content and Form of Application Submission:
Requirements concerning the content of an application,
together with the forms you must submit, are in the
application package for this program.

Page Limit: The application narrative is where you, the applicant, provide the project narrative to address the selection criteria that reviewers use to evaluate your application. The required budget and budget narrative will be provided in a separate section. You must limit the

application narrative to the equivalent of no more than 30 pages, using the following standards:

- A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, references, and captions, as well as all text in charts, tables, figures, and graphs.
- Use a font that is either 12 point or larger or no smaller than 10 pitch (characters per inch).
- Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial.

The page limit does not apply to the cover sheet; the budget section, including the narrative budget justification; the assurances and certifications; or the one-page abstract, the resumes, the bibliography, or the letters of support. However, the page limit does apply to all of the application narrative section.

Our reviewers will not read any pages of your application that exceed the page limit.

3. Submission Dates and Times:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Applications for grants under this program must be submitted electronically using the Grants.gov Apply site (Grants.gov). For information (including dates and times) about how to submit your application electronically, or in paper format by mail or hand delivery if you qualify for an exception to the electronic submission requirement, please refer to Other Submission Requirements in section IV of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under For Further Information Contact in section VII of this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

4. <u>Intergovernmental Review</u>: This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. However, under 34 CFR 79.8(a), we waive

intergovernmental review in order to make awards by the end of FY 2016.

- 5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable</u>
 Regulations section of this notice.
- 6. <u>Data Universal Numbering System Number, Taxpayer</u>

 <u>Identification Number, and System for Award Management</u>: To

 do business with the Department of Education, you must--
- a. Have a Data Universal Numbering System (DUNS) number and a Taxpayer Identification Number (TIN);
- b. Register both your DUNS number and TIN with the System for Award Management (SAM) (formerly the Central Contractor Registry), the Government's primary registrant database;
- c. Provide your DUNS number and TIN on your application; and
- d. Maintain an active SAM registration with current information while your application is under review by the Department and, if you are awarded a grant, during the project period.

You can obtain a DUNS number from Dun and Bradstreet at the following Web site: http://fedgov.dnb.com/webform. A DUNS number can be created within one to two business days.

If you are a corporate entity, agency, institution, or organization, you can obtain a TIN from the Internal Revenue Service. If you are an individual, you can obtain a TIN from the Internal Revenue Service or the Social Security Administration. If you need a new TIN, please allow two to five weeks for your TIN to become active.

The SAM registration process can take approximately seven business days, but may take upwards of several weeks, depending on the completeness and accuracy of the data you enter into the SAM database. Thus, if you think you might want to apply for Federal financial assistance under a program administered by the Department, please allow sufficient time to obtain and register your DUNS number and TIN. We strongly recommend that you register early.

Note: Once your SAM registration is active, it may be 24 to 48 hours before you can access the information in, and submit an application through, Grants.gov.

If you are currently registered with SAM, you may not need to make any changes. However, please make certain that the TIN associated with your DUNS number is correct. Also note that you will need to update your registration annually. This may take three or more business days.

Information about SAM is available at www.SAM.gov. To further assist you with obtaining and registering your DUNS

number and TIN in SAM or updating your existing SAM account, we have prepared a SAM.gov Tip Sheet, which you can find at: http://www2.ed.gov/fund/grant/apply/sam-faqs.html.

In addition, if you are submitting your application via Grants.gov, you must (1) be designated by your organization as an Authorized Organization Representative (AOR); and (2) register yourself with Grants.gov as an AOR. Details on these steps are outlined at the following Grants.gov Web page:

www.grants.gov/web/grants/register.html.

7. Other Submission Requirements:

Applications for grants under this competition must be submitted electronically unless you qualify for an exception to this requirement in accordance with the instructions in this section.

a. <u>Electronic Submission of Applications</u>.

Applications for grants under the Promoting Student
Resilience Program, CFDA number 84.184C, must be submitted
electronically using the Governmentwide Grants.gov Apply
site at www.Grants.gov. Through this site, you will be
able to download a copy of the application package,
complete it offline, and then upload and submit your

application. You may not email an electronic copy of a grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under Exception to Electronic Submission Requirement.

You may access the electronic grant application for the Promoting Student Resilience Program at www.Grants.gov. You must search for the downloadable application package for this program by the CFDA number. Do not include the CFDA number's alpha suffix in your search (e.g., search for 84.184, not 84.184C).

Please note the following:

- When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.
- Applications received by Grants.gov are date and time stamped. Your application must be fully uploaded and

submitted and must be date and time stamped by the Grants.gov system no later than 4:30:00 p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not accept your application if it is received—that is, date and time stamped by the Grants.gov system—after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date.

- The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through Grants.gov.
- You should review and follow the Education

 Submission Procedures for submitting an application through

 Grants.gov that are included in the application package for

 this program to ensure that you submit your application in

 a timely manner to the Grants.gov system. You can also

find the Education Submission Procedures pertaining to Grants.gov under News and Events on the Department's G5 system home page at www.G5.gov. In addition, for specific guidance and procedures for submitting an application through Grants.gov, please refer to the Grants.gov Web site at: www.grants.gov/web/grants/applicants/apply-for-grants.html.

- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.
- You must submit all documents electronically, including all information you typically provide on the following forms: the Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information—Non—Construction Programs (ED 524), and all necessary assurances and certifications.
- You must upload any narrative sections and all other attachments to your application as files in a read-only, non-modifiable Portable Document Format (PDF). Do not upload an interactive or fillable PDF file. If you

upload a file type other than a read-only, non-modifiable PDF (e.g., Word, Excel, WordPerfect, etc.) or submit a password-protected file, we will not review that material. Please note that this could result in your application not being considered for funding because the material in question— for example, the project narrative— is critical to a meaningful review of your proposal. For that reason it is important to allow yourself adequate time to upload all material as PDF files. The Department will not convert material from other formats to PDF.

- Your electronic application must comply with any page-limit requirements described in this notice.
- After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number.

 This notification indicates receipt by Grants.gov only, not receipt by the Department. Grants.gov will also notify you automatically by email if your application met all the Grants.gov validation requirements or if there were any errors (such as submission of your application by someone other than a registered Authorized Organization

 Representative, or inclusion of an attachment with a file name that contains special characters). You will be given an opportunity to correct any errors and resubmit, but you

must still meet the deadline for submission of applications.

Once your application is successfully validated by Grants.gov, the Department will retrieve your application from Grants.gov and send you an email with a unique PR/Award number for your application.

These emails do not mean that your application is without any disqualifying errors. While your application may have been successfully validated by Grants.gov, it must also meet the Department's application requirements as specified in this notice and in the application instructions. Disqualifying errors could include, for instance, failure to upload attachments in a read-only, non-modifiable PDF; failure to submit a required part of the application; or failure to meet applicant eligibility requirements. It is your responsibility to ensure that your submitted application has met all of the Department's requirements.

• We may request that you provide us original signatures on forms at a later date.

Application Deadline Date Extension in Case of Technical

Issues with the Grants.gov System: If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at

1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically or by hand delivery. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m.,
Washington, DC time, on the application deadline date,
please contact the person listed under For Further

Information Contact in section VII of this notice and
provide an explanation of the technical problem you
experienced with Grants.gov, along with the Grants.gov
Support Desk Case Number. We will accept your application
if we can confirm that a technical problem occurred with
the Grants.gov system and that the problem affected your
ability to submit your application by 4:30:00 p.m.,
Washington, DC time, on the application deadline date. We
will contact you after we determine whether your
application will be accepted.

Note: The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application to Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.

Exception to Electronic Submission Requirement: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through the Grants.gov system because—

- You do not have access to the Internet; or
- You do not have the capacity to upload large documents to the Grants.gov system;

and

• No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevents you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: Deirdra Hilliard, U.S. Department of Education, 400 Maryland Avenue, SW, room 3E-249, Washington, DC 20202-6450.

FAX: (202) 453-6742.

Your paper application must be submitted in accordance with the mail or hand-delivery instructions described in this notice.

b. Submission of Paper Applications by Mail.

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.184C) LBJ Basement Level 1 400 Maryland Avenue, S.W. Washington, DC 20202-4260 You must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark.
- (2) A mail receipt that is not dated by the U.S. Postal Service.

Note: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

We will not consider applications postmarked after the application deadline date.

c. Submission of Paper Applications by Hand Delivery.

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand.

You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.184C) 550 12th Street, SW. Room 7039, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department--

- (1) You must indicate on the envelope and—if not provided by the Department—in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- (2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

V. Application Review Information

1. Selection Criteria: The selection criteria for this program are from 34 CFR 75.210 of EDGAR. All of the selection criteria are listed in this section and in the application package. The maximum score for all of the selection criteria is 100 points. The maximum score for each criterion is included in parentheses following the title of the specific selection criterion. Each criterion also includes one or more factors that reviewers will consider in determining the extent to which an applicant meets the criterion. Points awarded under these selection criteria are in addition to any points an applicant earns under the competitive preference priorities in this notice. The maximum score that an application may receive under the competitive preference priorities and the selection criteria is 115 points.

1. Need for Project (20 points).

The Secretary considers the need for the proposed project. In determining the need for the proposed project, the Secretary considers the following factors:

(a) The magnitude of the need for the services to be provided or the activities to be carried out by the proposed project. (10 points)

- (b) The extent to which specific gaps or weaknesses in services, infrastructure or opportunities have been identified and will be addressed by the proposed project including the nature and magnitude of those gaps or weaknesses. (10 points)
 - 2. Quality of the Project Design (45 points).

The Secretary considers the quality of the design of the proposed project. In determining the quality of the design of the proposed project, the Secretary considers the following factors:

- (a) The extent to which the design of the proposed project is appropriate to, and will successfully address, the needs of the target population or other identified needs. (15 points)
- (b) The extent to which the proposed project will integrate with or build on similar or related efforts in order to improve Relevant outcome(s) (as defined in 34 CFR 77.1(c)), using existing funding streams from other programs or policies supported by community, State, and Federal resources. (10 points)
- (c) The extent to which the proposed project is supported by Evidence of promise (as defined in 34 CFR 77.1(c)). (10 points)

- (d) The extent to which the proposed project will establish linkages with other appropriate agencies and organizations providing services to the target population.

 (5 points)
- (e) The extent to which the proposed project encourages parental involvement. (5 points)
- 3. Quality of Project Personnel. (10 points).

 The Secretary considers the quality of the personnel who will carry out the proposed project.
- (a) In determining the quality of project personnel, the Secretary considers the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability. (5 points)

In addition, the Secretary considers the following factor:

- (b) The qualifications, including relevant training and experience, of key project personnel. (5 points)
 - 4. Quality of the Management Plan (15 points)

The Secretary considers the quality of the management plan for the proposed project. In determining the quality of the management plan of the proposed project, the Secretary considers the following factor:

- (a) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks. (15 points)
 - 5. Quality of the Project Evaluation (10 points)

The Secretary considers the quality of the project evaluation to be conducted of the proposed project. In determining the quality of the evaluation of the proposed project, the Secretary considers the following factor:

- (a) The extent to which the methods of evaluation are thorough, feasible, and appropriate to the goals, objectives, and outcomes of the proposed project. (10 points)
- 2. Review and Selection Process: We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider, under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary requires various assurances, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Education (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

3. Risk Assessment and Special Conditions:

Consistent with 2 CFR 200.205, before awarding grants under this program the Department conducts a review of the risks posed by applicants. Under 2 CFR 3474.10, the Secretary may impose special conditions and, in appropriate circumstances, high-risk conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 2 CFR part 200, subpart D; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

VI. Award Administration Information

1. Award Notices: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of your GAN. We may notify you informally, also.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements:
We identify administrative and national policy requirements
in the application package and reference these and other
requirements in the Applicable Regulations section of this
notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

- 3. Reporting: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).
- (b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multiyear award, you must submit an annual performance report that provides the most current performance and

financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to www.ed.gov/fund/grant/apply/appforms/appforms.html.

- (c) Under 34 CFR 75.250(b), the Secretary may provide a grantee with additional funding for data collection analysis and reporting. In this case the Secretary establishes a data collection period.
- 4. <u>Performance Measures</u>: (a) The Department has established the following performance measures for assessing the effectiveness of the Promoting Student Resilience grant program:
- 1. The number of students served by the grant(s) receiving school-based and community mental health services to address student needs resulting from exposure to trauma; and
- 2. The number of Community-based organizations that are coordinating and sharing resources with each other as a result of the grant(s).
- (b) Baseline data. Applicants must provide Baseline data for each of the performance measures listed in (a) and explain why each proposed Baseline is valid; or, if the applicant has determined that there are no established

Baseline data for a particular performance measure, explain why there is no established Baseline and explain how and when, during the project period, the applicant will establish a valid Baseline for the performance measure.

Note: If the applicant does not have experience with collection and reporting of performance data through other projects or research, the applicant should provide other evidence of capacity to successfully carry out data collection and reporting for its proposed project.

These measures constitute the Department's indicators of success for this program. Consequently, we advise an applicant for a grant under this program to give careful consideration to these measure in conceptualizing the approach and evaluation for its proposed project. Each grantee will be required to provide, in its annual performance and final reports, data about its progress in meeting these measures.

VII. Agency Contact

For Further Information Contact: Deirdra Hilliard, U.S.

Department of Education, 400 Maryland Avenue, SW., Room 3E
249, Washington, DC 20202-6450. Telephone: (202) 453-6726

or by email: deirdra.hilliard@ed.gov.

If you use a TDD or a TTY, call the Federal Relay Service, toll free, at 1-800-877-8339.

VIII. Other Information

Accessible Format: Individuals with disabilities can obtain this document and a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) on request to the program contact person listed under For Further Information Contact in section VII of this notice.

Electronic Access to This Document: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available via the Federal Digital System at:

www.gpo.gov/fdsys. At this site you can view this document, as well as all other documents of this Department published in the Federal Register, in text or Portable Document Format (PDF). To use PDF you must have Adobe

Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the <u>Federal Register</u> by using the article search feature at: www.federalregister.gov. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department. Dated: June 20, 2016.

Ann Whalen,
Senior Advisor to the Secretary,
Delegated the Duties of Assistant
Secretary for Elementary and Secondary
Education.

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